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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF BLUM et al

(213)

APPLN. NO.: 09/921,402

FILED: August 2, 2001

FOR: METHOD FOR SECURE DATA TRANSMISSION IN SELLING PRODUCTS

GROUP:

EXAMINER:

RECEIVED

DOCKET: PRINZ H1768

FEB 25 2002

Commissioner of Patents & Trademark  
Washington, D.C. 20231

Technology Center 2100

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION; SUBMISSION OF PRIORITY DOCUMENT  
AND PRIOR ART DISCLOSURE STATEMENT**

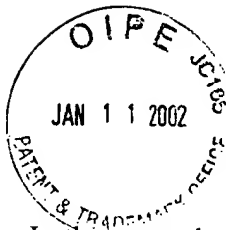
Dear Sir:

In response to the Notice to File Missing Parts of Application mailed September 14, 2001 (copy attached), submitted herewith is a copy of the fourteen (14) page English translation and two (2) drawing pages previously submitted on September 17, 2001. Also submitted herewith is a copy of the Verification of Translation previously submitted on September 17, 2001 and form PTO-2038 authorizing credit card payment in the amount of \$130.00 covering the \$130.00 surcharge.

Also enclosed are the following: a certified copy of German Patent Application No. 100 38 226.6 in support of Applicant's priority claim under 35 USC 119; a Prior Art Disclosure Statement, with U.S. Patent Office Form PTOL-1449; and a copy of the German Official Action and copies of the prior art references listed thereon.

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In the event there are any fee deficiencies or additional fees are payable, please charge them (or credit any overpayment) to our Deposit Account No. 08-1391.

Respectfully submitted,

Norman P. Soloway  
Attorney for Applicant  
Reg. No. 24,315

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on November 9, 2001 at Tucson, Arizona.

By: 

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,402	08/02/2001	Herbert Blum	PRINZ H1768

CONFIRMATION NO. 6054

## FORMALITIES LETTER



\*OC00000006554313\*

Norman P. Soloway  
HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C.  
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Tucson, AZ 85701

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

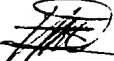
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

01/16/2002 BHASTEN 0000059 09921402 130.00 01

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF BLUM et al.

SERIAL NO.:

FILED: August 2, 2001

FOR: Verfahren für eine sichere Datenübertragung beim Warenverkauf

DOCKET: PRINZ H1768

Commissioner of Patents & Trademarks  
Washington, D.C. 20231

**RECEIVED**

FEB 25 2002

Technology Center 2100

VERIFICATION OF TRANSLATION

Dear Sir:

The undersigned hereby certifies that I am conversant in both German and English languages, that I have prepared the attached English translation of German text patent application attached hereto and that the English translation is a true, faithful and accurate translation of the attached German text patent application.

I further declare that all statements made of my own knowledge are true and that all statements made on information and belief are with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC §1001, and that such false statements may jeopardize the validity of the application or any patent issuing therefrom.

Date 22 August 2001

Signature

Typed Name Jochen Sties

Address: Manzingerweg 7, 81241 Munich, Fed. Rep. of Germany